Flatness 000000	Lattice polytopes	Empty 4-simplices:	1) volume 000000	3) infinite families	2) enumeration 0000000

# Hollow lattice polytopes and convex geometry

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New perspectives in convex geometry, CIEM — Sept 6-7, 2018

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## Flatness Theorem

*K* is lattice-free if  $int(K) \cap \Lambda = \emptyset$ 

Theorem (Flatness Theorem)

For each dimension d,

$$W_d := \sup_{K \; lattice-free} \mathsf{width}_\Lambda(K) < \infty.$$

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Known values:  $W_1 = 1$ ,  $W_2 = 1 + 3/\sqrt{2} \simeq 2.1547$  (Hurkens 1990)



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Also,  $W_d \in O(d \log \min(f_0, f_{d-1}))$  for lattice-free polytopes with at most  $f_0$  vertices and  $f_{d-1}$  facets. In particular,  $O(d \log d)$  for simplices.

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- Rudelson 2000  $W_d \in O(d^{4/3} \log^9 d)$

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Current "guess":  $W_d \in O(d)$  (perhaps modulo poly-log factors).

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The last remark has the following consequences:

#### Corollary

$$\lim_{d\to\infty} \frac{W_d}{d} = \sup_d \frac{W_d}{d} \ge 1.077\dots$$

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Moreover, the limit is the same if restricted to lattice polytopes instead of arbitrary convex bodies.

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Related to the flatness theorem is the fact that lattice-free (d+1)-bodies of width larger than  $W_d$  must have bounded volume.

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Theorem (Averkov-Wagner 2012)

Let K be a lattice-free convex 2-body with w > 1. Then

$$\mathsf{vol}(\mathcal{K}) \leq \begin{cases} \frac{w^2}{2(w-1)} & \text{for } w \in (1,2], \\ \frac{3w^2}{3w+1-\sqrt{1+6w-3w^2}} & \text{for } w \in [2,1+\frac{2}{\sqrt{3}}]. \end{cases}$$

The bound is attained iff K is as follows, respectively:





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Theorem (IglesiasValiño-Santos, 2018)

Let K be a lattice-free convex 3-body of lattice width  $w > 1 + 2/\sqrt{3} = 2.155$ . Then,





These bound are *not* attained.

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E.g.: empty *d*-simplex  $\Leftrightarrow$  lattice *d*-polytope with exacty *d* + 1 lattice points.



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#### Remark

Volume, combinatorial type, hollowness, emptyness, width ... are invariant modulo unimodular equivalence.

Flatness 000000	Lattice polytopes	Empty 4-simplices:	1) volume 000000	3) infinite families	2) enumeration 0000000
$1 \neq 2$					

• **Dimension** 1: the only hollow 1-polytope, in particular the only empty 1-simplex, is the unit segment.

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# Theorem (White 1964) Every empty tetrahedron has width one.

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That is:

There are infinitely many empty tetrahedra, but they form a *two-parameter family* that we can describe completely.

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Flatness	Lattice polytopes	Empty 4-simplices:	1) volume	<ol><li>infinite families</li></ol>	<ol><li>enumeration</li></ol>
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What about hollow 3-polytopes?

Theorem

The whole list of hollow 3-polytopes consists of:

- Those of width one.
- **2** Those that project to the dilated unimodular triangle.

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#### Remark

The three cases (1), (2) and (3) correspond to what is the minimal dimension of a lattice projection of P that is still hollow.

Flatness	Lattice polytopes	Empty 4-simplices:	1) volume	<ol><li>infinite families</li></ol>	2) enumerati
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# The maximal hollow 3-polytopes (d'après AKW2016)



Flatness	Lattice polytopes	Empty 4-simplices:	1) volume	3) infinite families	2) enumeration
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Finiteness of the number of hollow 3-polytopes that \*do not project\* to lower dimensions is a general fact:

Theorem (Nill-Ziegler 2011, also Lawrence 1991)

For each d, all except finitely many hollow d-polytopes (in particular, empty d-simplices) project to hollow polytopes of dimension < d.

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... and this result gives a first step towards a classification of empty (or hollow) *d*-polytopes. To each hollow (or empty) *d*-polytope *P* we assign a number  $k \le d$  and a hollow *k*-polytope *Q* such that *P* projects to *Q* but *Q* does not project further.

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#### **Examples**

*P* projects to a hollow 1-polytope  $\Leftrightarrow$  *P* has width one.

*P* projects to a hollow 2-polytope  $\Leftrightarrow$  *P* either has width one or projects to the second dilation of a unimodular triangle.

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Flatness 000000	Lattice polytopes 0000000●	Empty 4-simplices:	1) volume 000000	3) infinite families	2) enumeration
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In dimension 4, Haase and Ziegler (2000) experimentally found that:

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In dimension 4, Haase and Ziegler (2000) experimentally found that:

- There are infinitely many empty 4-simplices of width two (e. g., conv(e<sub>1</sub>,..., e<sub>4</sub>, v), where v = (2, 2, 3, D 6) and gcd(D, 6) = 1).
- Among the empty 4-simplices of determinant up to 1000 those of width larger than two have determinant  $\leq$  179. (There are 178 of width three plus one of width 4 and determinant 101).

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These 179 are the only empty 4-simplices of width > 2.

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 $4 \neq 5$ : In dimension  $\geq 5$  there are non-cyclic empty simplices  $\Rightarrow$   $\Rightarrow$   $\Rightarrow$   $\Rightarrow$   $\Rightarrow$   $\Rightarrow$ 

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Empty 4-simplices:

1) volume

3) infinite families

2) enumeration

# The complete classification of empty 4-simplices (lglesias-S., 2018+)

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### Theorem 1 (volume bound)

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There are 2461 of them. Their determinants range from 24 to 419. There is one of width 4 (determinant=101), 178 of width three (dets. $\in$  [49, 179]), and the rest have width two (as predicted by Haase-Ziegler).

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All empty 4-simplices that project to hollow 3-polytopes belong to 1 + 3 + 52 families with 3, 2 and 1 parameters respectively.

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Although we are interested only in *empty* ones, the first theorem holds for all *hollow* simplices:

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We prove this in two parts:

- The case of width at least three.
- 2 The case of width two.

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Flatness 000000	Lattice polytopes	Empty 4-simplices:	1) volume ○●○○○○	3) infinite families	2) enumeration
Idea of	f proof for	width $> 3$			

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Consider the lattice projection  $\pi: P \to Q$  along the direction where the *rational diameter* of P is attained. Q is not hollow, but still has width  $\geq 3$ .

We call rational diameter  $\delta(P)$  of P the maximum length (w.r.t. the lattice) of a rational segment contained in P. It equals  $\lambda_1^{-1}(P - P)$ , where  $\lambda_1(C) \equiv$  first successive minimum of C.

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Minkowski's first theorem

 $\operatorname{Vol}(P) \leq \frac{\operatorname{Vol}(P-P)}{2^d} \leq d! \delta(P)^d.$ 

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 $\operatorname{Vol}(P) \leq \frac{\operatorname{Vol}(P-P)}{2^d} \leq d! \delta(P)^d.$ 

If P is a simplex this can be improved to

$$\mathsf{Vol}(P) \leq rac{2^d d!}{\binom{2d}{d}} \delta(P)^d$$

Flatness	Lattice polytopes	Empty 4-simplices:	<ol> <li>volume</li> </ol>	<ol><li>infinite families</li></ol>	<ol><li>enumeration</li></ol>
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# Bounding Vol(P) from Vol(Q)

#### Lemma

Let  $\pi : P \to Q$  be an integer projection of a hollow *d*-simplex *P* onto a non-hollow (d-1)-polytope *Q*. Let:

- $x \in Q$  be the Radon point of the projection.
- $\delta$  be the length of  $\pi^{-1}(x)$ .
- 0 < r < 1 be the maximum dilation factor such that Q<sub>r</sub> := x + r(Q − x) is hollow.

Then:

 $I \quad \mathsf{Vol}(P) = \delta \, \mathsf{Vol}(Q).$ 

**2** 
$$\delta^{-1} \ge 1 - r$$
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Flatness	Lattice polytopes	Empty 4-simplices:	<ol> <li>volume</li> </ol>	<ol><li>infinite families</li></ol>	<ol><li>enumeration</li></ol>
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Then:

•  $\operatorname{Vol}(P) = \delta \operatorname{Vol}(Q).$ 

**2**  $\delta^{-1} \ge 1 - r$ .

- In what follows we project along the direction with  $\delta = \text{diameter}(P)$ .
- r measures whether Q is "close to hollow"  $(r \simeq 1)$  or "far from hollow"  $(r \simeq 0)$

Flatness	Lattice polytopes	Empty 4-simplices:	1) volume	3) infinite families	2) enumeration
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Now, suppose that  $\pi : P \to Q$  is the projection along the direction giving the rational diameter of P, so that the  $\delta$  in the theorem equals the rational diameter of P. We have a dichotomy:

Flatness	Lattice polytopes	Empty 4-simplices:	1) volume	<ol><li>infinite families</li></ol>	2) enumeration
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• If <u>Q</u> is "far from hollow" then we use Minkowski's inequality  $\operatorname{vol}(P - P) \leq 2^d \delta^d$ . Together with  $\operatorname{Vol}(P - P) = \binom{2d}{d} \operatorname{Vol}(P)$  (Rogers-Shephard for a simplex):

$$\mathsf{Vol}(P) = \frac{\mathsf{Vol}(P-P)}{\binom{8}{4}} = \frac{24\,\mathsf{vol}(P-P)}{\binom{8}{4}} \le \frac{24\cdot16}{\binom{8}{4}}\delta^4 = 5.48\delta^4.$$

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E.g., whenever  $r \leq 0.81$  we have  $\delta \leq 1/0.19$  and

$$Vol(P) \le \frac{5.48}{0.19^4} = 4210.$$

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• If Q is "close to hollow" then we use the Lemma:

$$\operatorname{Vol}(P) = \delta \operatorname{Vol}(Q) = \frac{\delta}{r^3} \operatorname{Vol}(Q_r), \text{ where }:$$

Flatness	Lattice polytopes	Empty 4-simplices:	1) volume	<ol><li>infinite families</li></ol>	2) enumeration
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Now, suppose that  $\pi : P \to Q$  is the projection along the direction giving the rational diameter of P, so that the  $\delta$  in the theorem equals the rational diameter of P. We have a dichotomy:

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- r is bounded away from 0 (by the previous case we can assume  $r \ge .81$ ).

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 Q<sub>r</sub> is a lattice-free 3-polytope of width at least 3r ≥ 2.43, which gives an upper bound for Vol(Q<sub>r</sub>).

Flatness	Lattice polytopes	Empty 4-simplices:	1) volume	3) infinite families	2) enumeration
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- $Q_r$  is a lattice-free 3-polytope of width at least  $3r \ge 2.43$ , which gives an upper bound for  $Vol(Q_r)$ .

Putting this together we get "Theorem 2":

$$\operatorname{Vol}(P) \leq rac{\delta}{r^3} \operatorname{Vol}(Q_r) \leq \cdots \leq 7600$$

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Flatness	Lattice polytopes	Empty 4-simplices:	<ol> <li>volume</li> </ol>	<ol><li>infinite families</li></ol>	2) enumeration
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## A bound on the volume of wide 3-polytopes

Lemma (Iglesias-S. 2017+, inspired in AKW 2016)

Let K be a hollow convex 3-body of width  $w > 1 + \frac{2}{\sqrt{3}} = 2.155$ . Then,

$$\mathsf{vol}(\mathcal{K}) \leq \begin{cases} 8w^3/(w-1)^3, & \text{if } w \geq \frac{2}{\sqrt{3}}(\sqrt{5}-1) + 1 = 2.427, \\ 3w^3/4(w-(1+2/\sqrt{3})), & \text{if } w \leq 2.427. \end{cases}$$



Flatness 000000	Lattice polytopes	Empty 4-simplices:	1) volume 00000●	3) infinite families	2) enumeration

Let P be a hollow lattice 4-simplex of width = 2 that *does not project to a hollow* 3-*polytope*.

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Flatness 000000	Lattice polytopes	Empty 4-simplices:	1) volume 00000●	3) infinite families	2) enumeration

Let P be a hollow lattice 4-simplex of width = 2 that *does not project to a hollow* 3-*polytope*.

W.l.o.g. suppose  $P \subset [-1,1] \times \mathbb{R}^3$ , and let  $Q = P \cap (\{0\} \times \mathbb{R}^3)$ . Then, by Schwarz symmetrization:

 $\operatorname{Vol}(P) \leq 2^4 \operatorname{Vol}(Q).$ 

Hence, it suffices to show that  $Vol(Q) \le 7600/16 = 475$ .

Flatness	Lattice polytopes	Empty 4-simplices:	<ol> <li>volume</li> </ol>	<ol><li>infinite families</li></ol>	<ol><li>enumeration</li></ol>
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 $\operatorname{Vol}(P) \leq 2^4 \operatorname{Vol}(Q).$ 

Hence, it suffices to show that  $Vol(Q) \le 7600/16 = 475$ . Observe Q is half-integer. Two cases:

• width(Q)  $\geq 5/2 \Rightarrow$  since Q is hollow,

$$Vol(Q) = 6 \text{ vol } Q \le 6 \frac{8(5/2)^3}{(3/2)^3} = 222.2$$

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Flatness	Lattice polytopes	Empty 4-simplices:	<ol> <li>volume</li> </ol>	<ol><li>infinite families</li></ol>	<ol><li>enumeration</li></ol>
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Width(Q) ≤ 2 ⇒ we apply to the middle slice of Q (call it R) the same ideas: R is a lattice-free polygon which does not project to dimension 1 ⇒ (we skip details...) Vol(Q) ≤ 324

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Flatness 000000	Lattice polytopes	Empty 4-simplices:	1) volume 000000	<ul><li>3) infinite families</li><li>●○○○○○○○○○</li></ul>	2) enumeration 0000000

Motivated by their equivalence to *terminal quotient singularities*, Mori, Morrison and Morrison (1989) studied empty 4-simplices of *prime determinant* and found that:

- There are 1+1+29 infinite families with three, two, and one parameters respectively.
- Up to determinant 419 there are some 4-simplices not in those families, but between 420 and 1600 there are none.

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CONJECTURE 1.4 (four-dimensional terminal lemma). Fix  $p \ge 421$ . Up to the actions of  $(\mathbb{Z}/p\mathbb{Z})^*$  and  $\mathbb{S}^4$ , each isolated four-dimensional terminal  $\mathbb{Z}/p\mathbb{Z}$ -quotient singularity of index p is associated with one of the p-terminal quintuples given in Theorem 1.3.

This conjecture was proved (modulo the "finitely many exceptions") by Bover (2009) (partially by Sankaran 1990)

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Flatness 000000	Lattice polytopes	Empty 4-simplices:	1) volume 000000	3) infinite families •000000000	2) enumeration 0000000

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This conjecture was proved (modulo the "finitely many exceptions") by Bover (2009) (partially by Sankaran 1990)  $\Rightarrow$  Complete classification of empty simplices of prime volume.

Flatness	Lattice polytopes	Empty 4-simplices:	1) volume	<ol><li>infinite families</li></ol>	2) enumeration
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THEOREM 1.3. Let Q be a quintuple of integers summing to zero, and let p be a prime number. Suppose that either

(a) 
$$Q = (\alpha, -\alpha, \beta, \gamma, -\beta - \gamma)$$
 with  $0 < |\alpha|, |\beta|, |\gamma| < p/2$ , and  $\beta + \gamma \neq 0$ , or

(b) 
$$Q = (\alpha, -2\alpha, \beta, -2\beta, \alpha + \beta)$$
 with  $0 < |\alpha|, |\beta| < p/2$ , and  $\alpha + \beta \neq 0$ , or

(c) Q is one of the 29 quintuples listed in Table 1.9 and  $p > M_Q$ .

Then Q is p-terminal.

TABLE 1.9	(6, 4, 3, -1, -12)	02221, 20001
	(7, 5, 3, -1, -14)	02221 20001

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			,	
$Stable \ Quintuple$	Linear Relations	(9, 7, 1, -3, -14)	02001, 20221	
$\left(9,1,-2,-3,-5\right)$	02100, 11002, 20122	(15, 7, -3, -5, -14)	02001, 20221	
(9, 2, -1, -4, -6)	01200, 02010, 20212	(8, 5, 3, -1, -15)	02211, 20011	
(12, 3, -4, -5, -6)	02001, 10002, 12220	(10, 6, 1, -2, -15)	00210, 22012	
$\left(12,2,-3,-4,-7\right)$	02010, 11002, 20212	(12, 5, 2, -4, -15)	00210, 22012	
$\left(9,4,-2,-3,-8\right)$	01200, 02001, 20221	(9, 6, 4, -1, -18)	02221, 20001	
$\left(12,1,-2,-3,-8\right)$	02100, 12021, 20122	(9, 6, 5, -2, -18)	02221, 20001	
(12, 3, -1, -6, -8)	02010, 10020, 12202	(12, 9, 1, -4, -18)	02001, 20221	
$\left(15,4,-5,-6,-8\right)$	02001, 20221	(10, 7, 4, -1, -20)	02221, 20001	
(12, 2, -1, -4, -9)	01200, 02010, 20212	(10, 8, 3, -1, -20)	02221, 20001	
$\left(10,6,-2,-5,-9\right)$	02120, 10020, 12202	(10, 9, 4, -3, -20)	02221, 20001	
$\left(15,1,-2,-5,-9\right)$	02100, 20122	(12, 10, 1, -3, -20)	02001, 20221	
(12, 5, -3, -4, -10)	02001, 02210, 20221	(12, 8, 5, -1, -24)	02221, 20001	
$\left(15,2,-3,-4,-10\right)$	02010, 20212	(15, 10, 6, -1, -30)	02221, 20001	
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Flatness 000000	Lattice polytopes	Empty 4-simplices:	1) volume 000000	3) infinite families	2) enumeration 0000000
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### (Almost) Theorem 3 (Barile, Bernardi, Borisov and Kantor, 2011)

All but finitely many empty 4-simplices belong to the 29 + 1 + 1 families of Mori-Morrison-Morrison (1988), all of which have width one or two.

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All but finitely many empty 4-simplices belong to the 29 + 1 + 1 families of Mori-Morrison-Morrison (1988), all of which have width one or two.

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The correct version is:

Theorem 3 (Iglesias, Santos, 2018+)

All empty 4-simplices that project to hollow 3-polytopes belong to:

- **1** The 3-parameter family with quintuple (a, -a, b, c, -b c).
- One of the two 2-parameter families with quintuples (a, -2a, b, -2b, a + b) and (a, -2a, b, -2b, a + b).
- One of the 29 + 23 one-parameter families given by the 29 quintuples of Mori, Morrison and Morrison (1988) or the new 23 non-primitive quintuples.

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## Cyclic simplices represented as (d + 1)-tuples

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# Cyclic simplices represented as (d + 1)-tuples

#### What are these "quintuples"

For each choice of  $D \in \mathbb{N}$ , a quintuple  $v = (v_0, v_1, v_2, v_3, v_4)$  represents "the" cyclic simplex  $\Delta$  in which v/D are the barycentric coordinates for a generator of  $\mathbb{Z}^4/\Lambda(D)$ .

Flatness	Lattice polytopes
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Remarks:

• All empty 4-simplces are cyclic (Barile et al 2011), so they can be represented in this way.

Flatness	Lattice polytopes
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Flatness	Lattice polytopes
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1) volume 000000 3) infinite families

2) enumeration

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- D equals the determinant of  $\Delta$ .
- the  $v_i$ 's are integers, and they are important only modulo D.
- if we choose ∑ v<sub>i</sub> = 0 and do not specify D, then a quintuple (v<sub>0</sub>, v<sub>1</sub>, v<sub>2</sub>, v<sub>3</sub>, v<sub>4</sub>) represents an infinite family of simplices, one for each D.

Flatness 000000	Lattice polytopes	Empty 4-simplices:	1) volume 000000	3) infinite families	2) enumeration

Each quintuple is a 1-parameter family of empty 4-simplices that project to a particular hollow 3-polytope.

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Flatness 000000	Lattice polytopes	Empty 4-simplices:	1) volume 000000	3) infinite families 0000●00000	2) enumeration
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 Divided by D, they are barycentric coordinates for a generator of the (cyclic) group Z<sup>4</sup>/L(Δ).

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- Divided by D, they are barycentric coordinates for a generator of the (cyclic) group Z<sup>4</sup>/L(Δ).
- They are homogeneous coordinates for a line
   ℓ ∈ {x ∈ ℝ<sup>5</sup> : ∑x<sub>i</sub> = 1} ≅ ℝ<sup>4</sup> passing through the origin (assumed to be a vertex of Δ). This line gives the projection direction, and has the property that the projection of Δ is hollow.

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- It gives the (unique) affine dependence among the projection of the vertices of  $\Delta$  in the direction of the line  $\ell$ .

Flatness 000000	Lattice polytopes	Empty 4-simplices:	1) volume 000000	3) infinite families	2) enumeration

More generally: a *k*-parameter family corresponds to the set of all *d*-dimensional lifts of a certain configuration of d + 1 points in dimension d - k. The "*k*-parameter (d + 1)-tuple" parametrizes the affine dependences among the d + 1 points in  $\mathbb{R}^k$ .

In particular, the Nill-Ziegler result ("all except finitely many hollow d-polytopes project to a hollow < d-polytope") implies:

Flatness 000000	Lattice polytopes	Empty 4-simplices:	1) volume 000000	3) infinite families 00000●0000	2) enumeration 0000000

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In particular, the Nill-Ziegler result ("all except finitely many hollow d-polytopes project to a hollow < d-polytope") implies:

#### Corollary

In any fixed dimension d, the set of all hollow d-simplices can be stratified "à la Mori et al." into a finite number of "families". Each family is represented as a k-dimensional rational linear subspace of  $\mathbb{R}^{d+1}$  $(k \in \{0, ..., d-1\})$ . A k-parameter family corresponds to simplices projecting to a particular configuration A of d + 1 points in  $\mathbb{R}^k$  such that conv(A) is hollow but does not project to dimension < d - k.

Flatness 000000	Lattice polytopes	Empty 4-simplices:	1) volume 000000	3) infinite families	2) enumeration 0000000
Proof	of Theorem	13			

The list in the statement corresponds to empty 4-simplices projectiong to lower dimensional hollow polytopes:

• Simplices projecting to dim 1 (that is, of width one) can a priori project in two ways: "4 + 1" or "3 + 2". But the classification of 3-dimensional empty simplices implies that the former is a special case of the latter. Affine dependences in the latter are parametrized by (a, -a, b, c, -b - c) (the 3-parameter family of MMM).

Flatness 000000	Lattice polytopes	Empty 4-simplices:	1) volume 000000	3) infinite families 000000●000	2) enumeration
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- A lattice 4-simplex Δ projecting to dim 2 must project to the second dilation of a unimodular triangle. For Δ to be empty one needs the vertices to project to one of the following configurations:



Flatness 000000	Lattice polytopes	Empty 4-simplices:	1) volume 000000	3) infinite families 0000000●00	2) enumeration 0000000
Proof	of Theorem	n 3 (cont.)			

• Lattice 4-simplices projecting to dim. 3 can be exhaustively described via the (finite) classification of hollow 3-polytopes with at most 5 vertices and not projecting to dim two (Averkov et al. 2016).

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Flatness 000000	Lattice polytopes	Empty 4-simplices:	1) volume 000000	3) infinite families 0000000●00	2) enumeration 0000000
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To narrow the search we use that, of the three types of 3-polytopes with  $\leq$  5 vertices (tetrahedron, sq. pyramid, triang. bipyramid) only the latter can possibly produce infinitely many hollow 4-dimensional lifts (Blanco-Haase-Hofmann-S. 2016).

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Flatness 000000	Lattice polytopes	Empty 4-simplices:	1) volume 000000	3) infinite families 0000000€00	2) enumeration
Proof	of Theorem	3(cont)			

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In this way we recover the 29 quintuples of Mori-Morrison-Morrison 1988, plus 23 additional "non-primitive quintuples".

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Flatness 000000	Lattice polytopes	Empty 4-simplices:	1) volume 000000	<ol> <li>3) infinite families</li> <li>0000000●0</li> </ol>	2) enumeration 0000000
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The 29 quintuples of Mori-Morrison-Morrison. Each represents (the rational points in) a line through the origin, in the 4-torus  $\mathbb{R}^4/L(\Delta)$ .

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Flatness	Lattice polytopes	Empty 4-simplices:	1) volume	<ol><li>infinite families</li></ol>	2) enumeration
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### The 23 "non-primitive quintuples"

$(0, 0, \frac{1}{2}, \frac{1}{2}, 0)$	+	$\mathbb{Q}\{(6,-2,-12,4,4)\}$	$(0, 0, \frac{2}{3}, \frac{1}{3}, 0)$	+	$\mathbb{Q}\{(-9,6,3,3,-3)\}$
$(\frac{1}{2}, 0, 0, 0, \frac{1}{2})$	$^+$	$\mathbb{Q}\{(8,-6,2,-8,4)\}$	$(\frac{1}{3}, 0, \frac{2}{3}, 0, 0)$	$^+$	$\mathbb{Q}\{(9,-9,3,-6,3)\}$
$(0, 0, \frac{1}{2}, 0, \frac{1}{2})$	$^+$	$\mathbb{Q}\{(8,-4,-12,6,2)\}$	$(0, 0, \frac{1}{3}, \frac{2}{3}, 0)$	$^+$	$\mathbb{Q}\{(-9,3,6,6,-6)\}$
$(\frac{1}{2}, 0, 0, 0, \frac{1}{2})$	$^+$	$\mathbb{Q}\{(4,6,-2,-16,8)\}$	$(0, 0, \frac{1}{3}, \frac{2}{3}, 0)$	$^+$	$\mathbb{Q}\{(12,-6,-12,3,3)\}$
$(0, \frac{1}{2}, \frac{1}{2}, 0, 0)$	$^+$	$\mathbb{Q}\{(2,-12,4,12,-6)\}$	$(\frac{1}{3}, 0, \frac{2}{3}, 0, 0)$	$^+$	$\mathbb{Q}\{(9,-18,6,6,-3)\}$
$(\frac{1}{2}, 0, \frac{1}{2}, 0, 0)$	$^+$	$\mathbb{Q}\{(12,-16,8,-6,2)\}$	$(\frac{1}{3}, 0, \frac{2}{3}, 0, 0)$	$^+$	$\mathbb{Q}\{(12,-18,3,6,-3)\}$
$(0, \frac{1}{2}, 0, 0, \frac{1}{2})$	$^+$	$\mathbb{Q}\{(2,12,-8,-12,6)\}$	$(\frac{1}{3}, 0, \frac{2}{3}, 0, 0)$	$^+$	$\mathbb{Q}\{(12,-9,3,-12,6)\}$
$(\frac{1}{2}, 0, 0, 0, \frac{1}{2})$	$^+$	$\mathbb{Q}\{(8,6,-2,-24,12)\}$	$(\frac{1}{3}, 0, \frac{2}{3}, 0, 0)$	$^+$	$\mathbb{Q}\{(6,-3,6,-18,9)\}$
$(0, \frac{1}{2}, 0, 0, \frac{1}{2})$	+	$\mathbb{Q}\{(6,-2,8,-24,12)\}$	$(0, 0, \frac{1}{3}, \frac{1}{3}, \frac{1}{3})$	+	$\mathbb{Q}\{(3,-18,6,18,-9)\}$
(1 1 1 0 0)			$(1 \circ \circ 2 \cdot 1)$		
$(\frac{1}{2}, \frac{1}{4}, \frac{1}{4}, 0, 0)$	+	$\mathbb{Q}\{(12, -12, 4, -8, 4)\}$	(青,0,0,壹,青)	+	$\mathbb{Q}\{(6, -18, 6, 12, -6)\}$
$(0, \frac{1}{4}, \frac{1}{4}, 0, \frac{1}{2})$	+	$\mathbb{Q}\{(4,8,-4,-16,8)\}$			
$(0, 0, \frac{1}{4}, \frac{1}{2}, \frac{1}{4})$	$^+$	$\mathbb{Q}\{(4,-16,4,16,-8)\}$			
$(0\frac{1}{4}, \frac{1}{4}, 0, \frac{1}{2})$	+	$\mathbb{Q}\{(4, 12, -4, -24, 12)\}$			

The 23 non-primitive quintuples. Each represents (the rational points in) a line in  $\mathbb{R}^4/\Lambda(\Delta)$  not passing through the origin.

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Flatness 000000	Lattice polytopes	Empty 4-simplices:	1) volume 000000	3) infinite families	2) enumeration •000000

## Theorem 2 (enumeration)

### Theorem 2 (Iglesias-S., 2017+)

With determinant  $\leq$  7600 there are 2461 empty 4-simplices that do not project to hollow 3-polytopes. Their determinants range from 24 to 419.

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The proof is via an exhaustive computer enumeration.

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# Theorem 2 (enumeration)

### Theorem 2 (Iglesias-S., 2017+)

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The proof is via an exhaustive computer enumeration.

Note: It is easy to prove (by induction on the dimension) that there are finitely many lattice polytopes of a given dimension d and with normalized volume bounded by D, for every  $d, D \in \mathbb{N}$  (e.g., Lagarias-Ziegler, 1991).

The algorithm implicit in the general proof is impracticable, but for the case of simplices another methods can be used.

Flatness 000000	Lattice polytopes	Empty 4-simplices:	1) volume 000000	3) infinite families	<ol> <li>enumeration</li> <li>○●○○○○○</li> </ol>

### Enumeration algorithms

To enumerate all empty 4-simplices of a given volume D we use one of two algorithms:

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Flatness 000000	Lattice polytopes	Empty 4-simplices:	1) volume 000000	3) infinite families	<ol> <li>enumeration</li> <li>○●○○○○○</li> </ol>

### Enumeration algorithms

To enumerate all empty 4-simplices of a given volume D we use one of two algorithms:

 Algorithm 1: If D has less than 5 prime factors, then every empty 4-simplex Δ of volume D has a unimodular facet (because Δ is cyclic, by Barile et al. 2011, which implies the volumes of facets are relatively prime). Thus, Δ is equivalent to

 $\mathsf{conv}\{\mathit{e}_1, \mathit{e}_2, \mathit{e}_3, \mathit{e}_4, \mathit{v}\},$ 

for some  $v = (v_1, v_2, v_3, v_4) \in \mathbb{Z}^4$  with  $\sum v_i = D + 1$ . Moreover, v needs only to be considered modulo D, which gives a priori  $D^3$  possibilities.

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### Enumeration algorithms

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for some  $v = (v_1, v_2, v_3, v_4) \in \mathbb{Z}^4$  with  $\sum v_i = D + 1$ . Moreover, v needs only to be considered modulo D, which gives a priori  $D^3$  possibilities.

• Algorithm 2: If *D* has at least 2 prime factors, then we can decompose D = pq with *p* and *q* relatively prime. Every 4-simplex  $\Delta_D$  of volume *D* can be obtained by "merging" simplices  $\Delta_p$  and  $\Delta_q$  of volumes *p* and *q*.

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Flatness 000000	Lattice polytopes	Empty 4-simplices:	1) volume 000000	3) infinite families	<ol> <li>enumeration</li> <li>oo●oooo</li> </ol>

## Computational performance data

More than 10000 hours of computation have been used.

Flatness 000000	Lattice polytopes 00000000	Empty 4-simplices:	1) volume 000000	3) infinite families	2) enumeration 00●0000

### Computational performance data

More than 10000 hours of computation have been used. Algorithm 2 is much slower than Algorithm 1 if  $p \ll q$ , and slightly faster than Algorithm 1 if  $p \simeq q$ .



empty lattice 4-simplices of a given volume

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Flatness 000000	Lattice polytopes	Empty 4-simplices:	1) volume 000000	3) infinite families	2) enumeration 000●000
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The enumeration gives us the 2461 empty 4-simplices that do not belong to the infinite families of Theorem 3. Their determinants range from 24 to 419.

Those of width  $\geq$  3 coincide with the list computed by Haase and Ziegler (2000): there are 178 of width three (with determinants in [49, 179] and exactly one of width 4 (with determinant 101 and quintuple (-1, 6, 14, 17, 65)).

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Lattice polytopes

Empty 4-simplices:

1) volume 000000 3) infinite families

2) enumeration

### Nbr. of sporadic 4-simplices (part 1 of 2)

V = 24:	1	V = 53:	38	V = 78:	3	V = 103:	51	V = 129:	17
V = 27:	1	V = 54:	11	V = 79 :	55	V = 104:	8	V = 130:	2
V = 29 :	3	V = 55:	20	V = 80 :	7	V = 105:	7	V = 131:	29
V = 30 :	2	V = 56:	3	V = 81:	18	V = 106:	8	V = 132 :	5
V = 31:	2	V = 57:	16	V = 82:	13	V = 107:	54	V = 133 :	14
V = 32:	3	V = 58:	13	V = 83 :	60	V = 108 :	5	V = 134 :	8
V = 33 :	4	V = 59:	51	V = 84 :	7	V = 109:	44	V = 135:	6
V = 34:	5	V = 60 :	4	V = 85:	27	V = 110:	5	V = 136 :	6
V = 35:	3	V = 61:	38	V = 86 :	11	V = 111:	13	V = 137:	28
V = 37:	6	V = 62 :	26	V = 87:	24	V = 112 :	2	V = 138 :	2
V = 38 :	8	V = 63 :	17	V = 88 :	5	V = 113:	40	V = 139:	37
V = 39:	9	V = 64 :	9	V = 89 :	55	V = 114 :	4	V = 140 :	5
V = 40 :	1	V = 65:	27	V = 90:	6	V = 115:	21	V = 141:	6
V = 41:	14	V = 66 :	3	V = 91 :	18	V = 116:	11	V = 142 :	9
V = 42:	5	V = 67:	41	V = 92:	9	V = 117:	10	V = 143:	13
V = 43:	20	V = 68 :	13	V = 93 :	17	V = 118:	9	V = 144:	1
V = 44 :	8	V = 69:	26	V = 94:	12	V = 119:	22	V = 145:	14
V = 45:	6	V = 70:	4	V = 95:	35	V = 120:	3	V = 146 :	5
V = 46 :	7	V = 71:	50	V = 96:	3	V = 121 :	18	V = 147:	10
V = 47:	30	V = 72:	3	V = 97:	46	V = 122 :	9	V = 148 :	7
V = 48 :	5	V = 73:	44	V = 98 :	9	V = 123:	17	V = 149:	26
V = 49:	17	V = 74:	18	V = 99:	13	V = 124 :	8	V = 150:	2
V = 50:	8	V = 75:	22	V = 100:	8	V = 125:	25	V = 151:	19
V = 51 :	16	V = 76:	14	V = 101 :	41	V = 127 :	24	V = 152 :	6
V = 52:	6	V = 77:	19	V = 102:	3	V = 128:	9	V = 153:	9

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Flatness

Lattice polytopes 00000000 Empty 4-simplices:

1) volum 000000 3) infinite families

2) enumeration

# Nbr. of sporadic 4-simplices (part 2 of 2)

$ \begin{array}{llllllllllllllllllllllllllllllllllll$	$ \begin{array}{c} V = 181: \\ V = 182: \\ V = 183: \\ V = 183: \\ V = 184: \\ V = 185: \\ V = 186: \\ V = 187: \\ V = 187: \\ V = 187: \\ V = 190: \\ V = 190: \\ V = 191: \\ V = 192: \\ V = 191: \\ V = 192: \\ V = 194: \\ V = 194: \\ V = 196: \\ V = 194: \\ V = 196: \\ V = 200: \\ V = 201: \\ V = 200: \\ V = 201: \\ V = 202: \\ V = 201: \\ V = 202: \\ V = 202: \\ V = 204: \\ V = 204: \\ V = 204: \\ V = 206: \\ V = 208: \\ V = 209: \\ \end{array} $	13 5 5 7 2 7 5 2 2 8 1 12 3 4 13 11 4 3 2 7 1 4 4 2 1 10	$\begin{array}{llllllllllllllllllllllllllllllllllll$	$\begin{array}{l} V = 245:\\ V = 247:\\ V = 248:\\ V = 249:\\ V = 250:\\ V = 250:\\ V = 255:\\ V = 257:\\ V = 256:\\ V = 257:\\ V = 263:\\ V = 263:\\ V = 263:\\ V = 263:\\ V = 265:\\ V = 266:\\ V = 268:\\ V = 268:\\ V = 271:\\ V = 272:\\ V = 272:\\ V = 274:\\ V = 275:\\ V = 278:\\ V = 283:\\ V = 289:\\ V = 290:\\ V = 291:\\ V = 291:\\ V = 292:\\ \end{array}$	3 3 2 1 5 1 2 3 2 1 7 1 1 2 2 1 7 1 1 2 2 1 4 1 1 2 2 1 4 1 1 1 2 2 1 5 1 2 3 2 1 5 1 2 3 2 1 5 1 2 1 5 1 2 1 5 1 2 1 5 1 2 1 5 1 5	$\begin{array}{c} V = 293:\\ V = 299:\\ V = 304:\\ V = 308:\\ V = 310:\\ V = 311:\\ V = 313:\\ V = 313:\\ V = 317:\\ V = 317:\\ V = 317:\\ V = 321:\\ V = 321:\\ V = 323:\\ V = 334:\\ V = 332:\\ V = 334:\\ V = 335:\\ V = 347:\\ V = 349:\\ V = 355:\\ V = 355:\\ V = 355:\\ V = 355:\\ V = 356:\\ V = 376:\\ V = 377:\\ V = 398:\\ V = 398:\\ V = 419:\\ \end{array}$	5 2 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1
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tness	Lattice polytopes	Empty
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1) volume

3) infinite families

2) enumeration

## Nbr. of sporadic t.q.s. of prime volume (MMM vs. us)

TABLE 1.14

р	$S_p$	p	$S_p$	p	$S_p$	р	$S_p$	V = 29 :	15	V = 113:	200	V = 229:	30
2	0	73	220	179	105	283	10	V = 31:	10	V = 127 :	120	V = 233 :	45
3	0	79	275	181	65	293	25	V = 37:	30	V = 131 :	145	V = 239 :	15
5	0	83	300	191	40	307	0	V = 41:	66	V = 137:	140	V = 241:	30
7	0	89	275	193	60	311	5	V = 43:	100	V = 139 :	185	V = 251 :	25
11	0	97	230	197	65	313	5	V = 47:	150	V = 149 :	130	V = 257 :	15
13	0	101	201	199	55	317	5	V = 53 :	190	V = 151 :	95	V = 263 :	35
17	å	103	255	211	20	331	5	V = 59:	255	V = 157 :	55	V = 269 :	10
10	13	107	270	223	35	337	0	V = 61:	186	V = 163 :	85	V = 271 :	20
23	28	100	220	220	45	347	5	V = 67 :	205	V = 167 :	90	V = 283 :	10
20	20	112	220	221	30	340	10	V = 71:	250	V = 173 :	75	V = 293 :	25
23	20	107	1200	223	45	252	5	V = 73:	220	V = 179 :	105	V = 311 :	5
07	50	127	145	200	40	303	0	V = 79:	275	V = 181 :	65	V = 313:	5
37	50 70	131	140	239	10	309	0	V = 83:	300	V = 191 :	40	V = 317 :	5
41	10	137	140	241	30	307	0	V = 89:	275	V = 193 :	60	V = 331 :	5
43	110	139	185	251	25	3/3	0	V = 97:	230	V = 197 :	65	V = 347 :	5
47	100	149	130	257	15	379	0	V = 101 :	201	V = 199:	55	V = 349:	10
53	195	151	95	263	35	383	0	V = 103 :	255	V = 211 :	20	V = 353 :	5
59	260	157	55	269	10	389	0	V = 107:	270	V = 223:	35	V = 397:	5
61	186	163	85	271	20	397	5	V = 109:	220	V = 227 :	45	V = 419:	5
67	205	167	90	277	0	409	0						
71	250	173	75	281	0	419	5						

Flatness 000000	Lattice polytopes	Empty 4-simplices:	1) volume 000000	3) infinite families	2) enumeration 0000000

# Thank you for your attention

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