

# COMPACTA IN BANACH SPACES

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# Outline

- 1 Gul'ko compacta
- 2 WCG Banach spaces and their relatives
  - Some tools
  - Biorthogonal systems in WCG Banach spaces
  - Full projectional generators
- 3 A renorming result
- 4 Some remarks on Krein's theorem
- 5 Flat sets,  $\ell_p$ -generating and fixing  $c_0$  in nonseparable setting
  - Asymptotically  $p$ -flat sets
  - Innerly asymptotically  $p$ -flat sets
  - The general setting
  - Fixing  $c_0(\Gamma)$  by operators

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# Gul'ko compacta

$$[0, 1]^\Gamma \cap \Sigma(\Gamma) := \{f : \Gamma \rightarrow [0, 1]; \#\{\gamma \in \Gamma; f(\gamma) \neq 0\} \leq \aleph_0\}.$$

## Theorem (Sokolov)

Let  $K \subset [0, 1]^\Gamma \cap \Sigma(\Gamma)$  be a compact space.  $K$  is a **Gul'ko compactum** if and only if there exists  $\Gamma_1, \Gamma_2, \dots \subset \Gamma$  such that,  $\forall \gamma \in \Gamma, \forall k \in K$  and  $\forall \epsilon > 0, \exists m \in \mathbb{N}$  such that  $\gamma \in \Gamma_m$  and  $\#\{\gamma \in \Gamma_m; |k(\gamma)| \geq \epsilon\} < \infty$ .

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We take  $\Gamma \subset \mathbb{R}$  uncountable, and  $K_{\mathcal{A}} := \{\chi_A; A \in \mathcal{A}\} \subset \{0, 1\}^\Gamma$ , where  $\mathcal{A}$  is adequate.

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## Definition

A family  $\mathcal{A}$  of subsets of  $\Gamma$  is called **adequate** if:

- $\forall \gamma \in \Gamma, \{\gamma\} \in \mathcal{A}$ ,
- given  $A \in \mathcal{A}$  and  $B \subset A$ , then  $B \in \mathcal{A}$ ,
- given  $A \subset \Gamma$  such that  $\forall F \subset A$  finite,  $F \in \mathcal{A}$  then  $A \in \mathcal{A}$ .

## Proposition

Let  $\Gamma \subset \mathbb{R}$  uncountable and  $\mathcal{A}$  an adequate family. TFAE:

- (i) Every  $A \in \mathcal{A}$  is closed in  $\Gamma$ .

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- (iii) The map  $\phi : \Gamma \rightarrow \Gamma_{\mathcal{A}}$  defined by  $\phi(\gamma) = \{\gamma, *\}$  is usco. Where  $\Gamma_{\mathcal{A}} := \Gamma \cup \{*\}$  being  $* \notin \Gamma$  an extra element,  $\tau_{\mathcal{A}}$  is the topology on  $\Gamma_{\mathcal{A}}$  given by: every  $\gamma \in \Gamma$  is isolated, and  $S(*) := \{\{*\} \cup \Gamma \setminus A; A \in \mathcal{A}\}$  is a subbasis of  $*$ .

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- (iv) If  $\mathcal{B}$  is a basis of  $\Gamma$  then  $\forall A \in \mathcal{A}$  and  $\forall \gamma \in \Gamma$ ,  $\exists B \in \mathcal{B}$  such that  $\gamma \in B$  and  $\#A \cap B < \aleph_0$ .

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If some condition above holds, then  $K_{\mathcal{A}}$  is Gul'ko compactum.

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Paired means that there exists a separately continuous mapping  $f : K \rightarrow \mathcal{K}$  that separates points of  $K$  and  $\mathcal{K}$ .

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# Some tools

## Definition

A PRI  $(P_\alpha)_{\omega_0 \leq \alpha \leq \mu}$  is **subordinated** to a set  $\Gamma \subset X$  if  $P_\alpha \gamma \in \{0, \gamma\}$   
 $\forall \gamma \in \Gamma$  and  $\forall \alpha \in [\omega_0, \mu]$ .

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## Theorem

*Let  $X$  a B. s. with a full-PG,  $\Gamma \subset X$  countably supporting  $X^*$  and  $\Delta \subset X^*$  countably supporting  $X$ . Then  $\exists$  a SPRI subordinated to  $\Gamma$  and  $\Delta$ .*

Where,  $\Gamma$  countably supports  $X^*$  means that for all  $x^* \in X^*$ ,  $\#\{\gamma \in \Gamma; \langle \gamma, x^* \rangle\} \leq \aleph_0$ .



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## Definition

A set  $\Gamma \subset X$  has the **Amir-Lindenstrauss property** if  $\forall x^* \in X^*$  and  $\forall c > 0$ , the set  $\{\gamma \in \Gamma; |\langle \gamma, x^* \rangle| > c\}$  is finite.

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## Remark

- In a fundamental b.o.s.  $\{x_i, f_i\}_{i \in I}$ ,  $\{f_i\}_{i \in I}$  countably supports  $X$ .

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- (Amir and Lindenstrauss) In a WCG B. s.  $X$ ,  $\exists \Gamma \subset X$  linearly dense with the AL-property.
- If  $X$  is WCG,  $\Gamma \subset X$  has the AL-property and  $\{x_\lambda, f_\lambda\}_{\lambda \in \Lambda}$  is a fundamental b.o.s, then  $\exists$  a SPRI subordinated to  $\Gamma$  and  $\{f_\lambda\}_{\lambda \in \Lambda}$ .

# Biorthogonal systems in WCG Banach spaces

## Theorem

Let  $X$  be a B.s.,  $K \subset X$   $w$ -compact and  $\{x_\lambda, f_\lambda\}_{\lambda \in \Lambda}$  be a fundamental b.o.s. Let  $\Lambda^0 := \{\lambda \in \Lambda; \langle k, f_\lambda \rangle \neq 0, \text{ for some } k \in K\}$ . Then  $\exists (\Lambda_m^0)_{m \in \mathbb{N}}$  such that  $\Lambda^0 = \bigcup_{m=1}^{\infty} \Lambda_m^0$  and  $\|\sum_{i=1}^n f_{\lambda_i}\| \rightarrow +\infty$  for every  $(\lambda_i)_{i=1}^{\infty} \in \Lambda_m^0$  and  $\forall m \in \mathbb{N}$ .

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## Corollaries

- (Argyros and Mercourakis) For  $X$  a WCG B. s. and  $\{x_\lambda, f_\lambda\}_{\lambda \in \Lambda}$  an M-basis.

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- (Argyros and Farmaki) Let  $X$  be a B.s.,  $K \subset X$   $w$ -compact and  $\{x_\lambda, f_\lambda\}_{\lambda \in \Lambda}$  an unconditional basis. Then  $\Lambda^0 = \bigcup_{m=1}^{\infty} \Lambda_m^0$  such that  $\{x_\lambda; \lambda \in \Lambda_m^0\} \cup \{0\}$  is  $w$ -compact  $\forall m \in \mathbb{N}$ .

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- (Jonhson)  $X$  a WCG B.s. with an unconditional basis  $\{x_\lambda\}_{\lambda \in \Lambda}$ . Then  $\exists \Lambda = \bigcup_{m=1}^{\infty} \Lambda_m$  such that  $\{x_\lambda; \lambda \in \Lambda_m\} \cup \{0\}$  is  $w$ -compact  $\forall m \in \mathbb{N}$ .



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- Argyros' example of a WCG space  $C(K)$  with a subspace not WCG.

## Theorem (Pták)

Let  $X$  be a Banach space. TFAE:

- (i)  $X$  is reflexive.
- (ii)  $\forall$  b.o.s  $\{x_n, f_n\}_{n \in \mathbb{N}}$  with  $\{f_n\}_{n \in \mathbb{N}}$  bounded,  $\|\sum_{n=1}^k x_n\| \rightarrow +\infty$ .
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## Proposition

Let  $\{x_\lambda; f_\lambda\}_{\lambda \in \Lambda}$  be a total biorthogonal system. TFAE:

- (i)  $\{x_\lambda\}_{\lambda \in \Lambda}$  has the AL-property.
- (ii)  $\{x_\lambda\}_{\lambda \in \Lambda} \cup \{0\}$  is weakly compact.

## Proposition

Let  $X$  be a B.s. and  $\{x_\lambda; f_\lambda\}_{\lambda \in \Lambda}$  a b.o.s. If  $\{x_\lambda\}_{\lambda \in \Lambda}$  has the AL-property, then  $\|\sum_{i=1}^k f_{\lambda_i}\| \rightarrow +\infty$ .

# Full projectional generators

## Proposition

*Let  $X$  be a WCG Banach space, then  $\exists$  a full-PG such that  $\Phi(x^*) \subset K$ ,  $\forall x^* \in X^*$*

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## WCD Banach spaces

Let  $S$  be a family of all subsets of  $\mathbb{N}$ , and  $L_S := \overline{X \cap_{n \in S} K_n}^{w^*}$ , for  $s \in S$ .  
Let  $\Phi : X^* \rightarrow 2^X$  defined by

$$\sup_{x \in L_s} |\langle x, x^* \rangle| = \sup_{x \in L_s \cap \Phi(x^*)} |\langle x, x^* \rangle|, \quad \forall s \in S.$$

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The mapping  $\Phi$  is a full-PG on a WCD Banach space.

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## Theorem

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- (ii)  *$X$  has a full-PG,*
- (iii)  *$\exists$  an  $M$ -basis that countably supports  $X^*$ .*
- (iv)  *$\exists \Gamma \subset X$  linearly dense that countably supports  $X^*$ .*



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## Corollary

*Every WLD Banach space is DENS, i. e.,  $\text{dens } X = w^* - \text{dens } X^*$ .*

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# A strictly convex norm on $c_0(\Gamma)$

Let  $\Gamma \subset \mathbb{R}$  uncountable and  $(\Gamma_n)_{n \in \mathbb{N}}$  a countable basis of  $\Gamma$ . We introduce the following norm on  $c_0(\Gamma)$ ,

$$\|x\| := \left( \sum_{n=1}^{\infty} 2^{-n} \|x \upharpoonright_{\Gamma_n}\|_{\infty}^2 \right)^{\frac{1}{2}}.$$

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$$\| \|x\| \| := \left( \sum_{n=1}^{\infty} 2^{-n} \|x \upharpoonright_{\Gamma_n}\|_{\infty}^2 \right)^{\frac{1}{2}}.$$

## Theorem

$\| \| \cdot \| \|$  is strictly convex.

## Proof.

Let  $x, y \in c_0(\Gamma)$  with  $\| \|x\| \| = \| \|y\| \| = 1$  and  $x \neq y$ . It is possible to find  $k \in \mathbb{N}$ , s. t.  $\|x \upharpoonright_{\Gamma_k}\|_{\infty} \neq \|y \upharpoonright_{\Gamma_k}\|_{\infty}$  or  $\|(x+y) \upharpoonright_{\Gamma_k}\|_{\infty} < \|x \upharpoonright_{\Gamma_k}\|_{\infty} + \|y \upharpoonright_{\Gamma_k}\|_{\infty}$ . Then in both cases we have  $\| \|x+y\| \| < \| \|x\| \| + \| \|y\| \|$ . □

# Outline

- 1 Gul'ko compacta
- 2 WCG Banach spaces and their relatives
  - Some tools
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  - Full projectional generators
- 3 A renorming result
- 4 Some remarks on Krein's theorem
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# Some remarks on Krein's theorem

We consider a result on quantification of Krein's theorem:

Theorem (Fabian, Hájek, Montesinos and Zizler)

Let  $X$  a B.s. and  $M \subset X$  bounded. If  $\overline{M}^{w*} \subset X + \epsilon B_{X^{**}}$  ( $M$  is  $\epsilon$ -WK), for some  $\epsilon > 0$ . Then  $\overline{\text{conv}(M)}^{w*} \subset X + 2\epsilon B_{X^{**}}$ .

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We make a variation on the concept of  $\epsilon$ -WK.

Definition

Let  $X$  a B.s. and  $M \subset X$  bounded, we say that  $M$  is  $\epsilon$ -weakly self compact ( $\epsilon$ -WSK) if for some  $\epsilon > 0$ ,

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We deal with a version of Krein's Theorem considering  $M$   $\epsilon$ -WSK.

# Upper envelopes

Let  $x^{**} \in X^{**}$ , we introduce the concept of the  $w(X^*, M)$ -usc envelope of  $x^{**}$  as follows

$$\hat{x}_M^{**} := \inf\{f; f : B_{X^*} \rightarrow \mathbb{R}, f \text{ is } w(X^*, M)\text{-continuous and } f \geq x^{**}|_{B_{X^*}}\}.$$

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We prove that

$$\text{hgraph}(\hat{x}_M^{**}) = \overline{\text{hgraph}(x^{**})}^{w(X^*, M) \times \mathbb{R}}.$$

## Proposition

Let  $x^{**} \in X^{**}$  and  $M \subset X$  bounded, then

- ①  $\hat{x}_M^{**}(x^*) = \inf\{\langle x, x^* \rangle + \lambda; x \in M, \lambda \in \mathbb{R} \text{ with } x + \lambda \geq x^{**} \text{ on } B_{X^*}\}.$
- ②  $\hat{x}_M^{**}(x^*) = \lim_{N \in \mathcal{N}_M(x^*)} \sup\langle x^{**}, N \rangle, \forall x^* \in B_{X^*}.$
- ③  $\hat{x}_M^{**}(x^*) = \inf\{\langle x, x^* \rangle + \|x^{**} - x\|; x \in M\}, \forall x^* \in B_{X^*}.$

# Quantitative Krein's Theorem

## Theorem

Let  $X$  a B.s. and  $M \subset X$  bounded. If  $M$  is  $\epsilon$ -WSK, for some  $\epsilon \geq 0$ , then

$$\overline{\text{conv}(M)}^{w^*} \subset \text{conv}(M) + (2\epsilon + \delta)B_{X^{**}}.$$

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# Flat sets and $\ell_p$ -generating

The original idea comes from:

Proposition (Godefroy, Kalton and Lancien)

*A separable Banach space  $X$  is isomorphic to a subspace of  $c_0$  if and only if it has an equivalent  $C$ -LKK\* norm for some  $C \in (0, 1]$ .*



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Where,

**Definition**

$\|\cdot\|$  on a B. s.  $X$  is  **$C$ -LKK\*** for some  $C \in (0, 1]$  if

$$\limsup_{n \rightarrow \infty} \|x^* + x_n^*\| \geq \|x^*\| + C \limsup_{n \rightarrow \infty} \|x_n^*\|,$$

for every  $x^* \in X^*$  and every  $w^*$ -null sequence  $(x_n^*)_{n \in \mathbb{N}}$  in  $X^*$ .

# Asymptotically $p$ -flat set

We deal with  $\ell_p(\omega_1)$ -generation by introducing the following concept:

## Definition

Let  $(X, \|\cdot\|)$  be a B.s.,  $p \in (1, +\infty]$  and  $q = \frac{p}{p-1}$ .  $M \subset X$  is  $\|\cdot\|$ -**asymptotically  $p$ -flat** if  $M$  is bounded and  $\exists C > 0$  such that  $\forall f \in X^*$  and every  $w^*$ -null sequence  $(f_n)_{n \in \mathbb{N}} \subset X^*$ , it holds

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Observe that if  $\|\cdot\|$  is C-LKK\*, then  $B_X$  is  $\|\cdot\|$ -asymptotically  $\infty$ -flat.

# Examples

- Every limited set  $M \subset X$  (i.e.,  $\lim_{n \rightarrow \infty} \|f_n\|_M = 0 \forall (f_n) \subset X^*$   $w^*$ -null sequence) is asymptotically  $p$ -flat  $\forall p \in (1, +\infty]$ .

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- Let  $L_p(\Omega, \Sigma, \mu)$  with positive measure  $\mu$ .  $B_{L_p}$  is  $\|\cdot\|_p$ -asymptotically  $p$ -flat for  $p \in (1, 2)$ , and  $\|\cdot\|_p$ -asymptotically 2-flat for  $p \in [2, +\infty)$ .

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- If  $X$  is superreflexive,  $B_X$  is asymptotically  $p$ -flat, for some  $p \leq 2$ .

# The Asplund setting

## Theorem

Let  $X$  be an Asplund B.s. with  $\text{dens}(X) = \#\omega_1$  and let  $p \in (1, +\infty)$ .  
TFAE:

- (i)  $X$  is WCG and  $\exists M \subset X$  linearly dense and asymptotically  $p$ -flat set, (asymptotically  $\infty$ -flat).
- (ii)  $X$  is generated by  $\ell_p(\omega_1)$ , (by  $c_0(\omega_1)$ ).

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As a consequence, we have

## Corollary

For  $p \in (1, +\infty)$ , any subspace of  $\ell_p(\omega_1)$  is  $\ell_p(\omega_1)$ -generated. Every subspace of  $c_0(\omega_1)$  is  $c_0(\omega_1)$ -generated.

# Innerly asymptotically $p$ -flat sets

In order to deal with the non Asplund setting is necessary to introduce a more restrictive concept:

## Definition

Let  $X$  be a B.s.,  $p \in (1, +\infty]$  and  $q = \frac{p}{p-1}$ .  $M \subset X$  is **innerly asymptotically  $p$ -flat** if  $M$  is bounded and  $\exists C > 0$  such that  $\forall f \in X^*$  and every  $w^*$ -null sequence  $(f_n)_{n \in \mathbb{N}} \subset X^*$ , it holds

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An innerly asymptotically  $p$ -flat set has a certain Asplund behavior.

## Proposition

*Let  $X$  be a B.s. with  $(B_{X^*}, w^*)$  angelic. Then, for all  $p \in (1, +\infty]$ , every asymptotically  $p$ -flat set  $M \subset X$  is an Asplund set.*

# The general setting

## Theorem

Let  $X$  be a B.s. with  $\text{dens}(X) = \#\omega_1$  and  $p \in (1, +\infty)$ . TFAE:

- (i)  $X$  is WLD and  $\exists M \subset X$  bounded, linearly dense and innerly asymptotically  $p$ -flat ( $\infty$ -flat).
- (ii)  $X$  is generated by  $\ell_p(\omega_1)$ , (by  $c_0(\omega_1)$ ).



# Fixing $c_0(\Gamma)$ by operators

We provide alternative proofs for the following results:

## Theorem (Dunford, Pettis and Pelczyński)

*Let  $X$  be a B.s.,  $T : c_0(\mathbb{N}) \rightarrow X$  bounded, linear and non-weakly compact. Then  $T$  fixes a copy of  $c_0(\mathbb{N})$ .*

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## Theorem (Rosenthal'70)

*Let  $X$  be a B.s. and let  $T : c_0(\Gamma) \rightarrow X$  bounded and linear such that for some  $\epsilon > 0$ ,  $\|T(e_\gamma)\| > \epsilon$ ,  $\forall \gamma \in \Gamma$ . Then  $\exists \Gamma' \subset \Gamma$  such that  $\#\Gamma' = \#\Gamma$  and  $T|_{c_0(\Gamma')}$  is an isomorphism.*

## Papers accepted

- A. L. González and V. Montesinos, **A note on weakly Lindelöf determined Banach spaces**. Czechoslovak Mathematical Journal.
- M. Fabian, A. L. González and V. Zizler, **Flat sets,  $l_p$ -generating and fixing  $c_0(\Gamma)$  in a nonseparable setting**. Journal of the Australian Mathematical Society.
- M. Fabian, A. L. González, V. Montesinos, **A note on biorthogonal systems in weakly compactly generated Banach spaces**. Annales Academiae Scientiarum Fennicae Mathematica.