# Small-bound isomorphisms of function spaces

# Jiří Spurný

Dedicated to the memory of Bernardo Cascales

December 2018

Jiří Spurný Small-bound isomorphisms of function spaces

Let  $\mathbb{F}$  be  $\mathbb{R}$  or  $\mathbb{C}$ .

#### Notation

Let K be compact.

- Let C(K, 𝔅) stand for the space of 𝔅-valued continuous functions on K.
- Let  $\mathcal{M}^1(K)$  denote the space of Radon probability measures on *K*.

#### Theorem (Banach-Stone)

Let K, L be compact spaces. Then K is homeomorphic to L if and only if  $\mathcal{C}(K, \mathbb{F})$  is isometric to  $\mathcal{C}(L, \mathbb{F})$ .

#### Theorem (Amir, Cambern)

Let  $T : C(K, \mathbb{F}) \to C(L, \mathbb{F})$  be an isomorphism with  $||T|| \cdot ||T^{-1}|| < 2$ , then K is homeomorphic to L.

#### Theorem (Cohen)

There exist non-homeomorphic compact spaces K, L and an isomorphism  $T: C(K, \mathbb{R}) \to C(L, \mathbb{R})$  with  $||T|| \cdot ||T^{-1}|| = 2$ .

*X*... a compact convex set in a locally convex (Hausdorff) space.  $\mathcal{A}(X, \mathbb{F})$ ... affine continuous  $\mathbb{F}$ -valued functions on *X* 

If  $\mu \in \mathcal{M}^1(X)$ , then **barycenter**  $r(\mu)$  satisfies  $f(r(\mu)) = \int_X f \, d\mu \, (= \mu(f)), f \in \mathcal{A}(X, \mathbb{F}).$ Also,  $\mu$  **represents**  $r(\mu)$ . The barycenter exists and it is unique.

If 
$$\mu = \sum_{i=1}^{n} a_i \varepsilon_{x_i}$$
, where  $x_i \in X$ ,  $a_i \ge 0$ ,  $\sum_{i=1}^{n} a_i = 1$ , then  $r(\mu) = \sum_{i=1}^{n} a_i x_i$ .

Let X be a compact convex set.

# Definition (Choquet ordering)

Let  $\mu, \nu \in \mathcal{M}^1(X)$ . Then  $\mu \prec \nu$  if  $\int k \, d\mu \leq \int k \, d\nu$  for each convex continuous function k on X.

#### Theorem (Choquet-Bishop-de-Leeuw)

For each  $x \in X$  there exist a  $\prec$ -maximal measure  $\mu \in \mathcal{M}^1(X)$  with  $r(\mu) = x$ .

### Definition (simplex)

The set X is a **simplex** if for each  $x \in X$  there exist a unique  $\prec$ -maximal measure  $\mu \in \mathcal{M}^1(X)$  with  $r(\mu) = x$ .

Let *X* be a compact convex set and  $A(X, \mathbb{F})$  stand for the space of all affine continuous  $\mathbb{F}$ -valued functions on *X*.

Definition (Bauer simplex)

A simplex X is a **Bauer simplex** if ext X is closed.

Theorem

If X is a Bauer simplex, then  $\mathcal{A}(X, \mathbb{F}) = \mathcal{C}(\operatorname{ext} X, \mathbb{F})$ .

#### Theorem

If K is a compact, then  $C(K, \mathbb{F}) = \mathcal{A}(\mathcal{M}^1(K), \mathbb{F})$ .

#### Theorem (Banach-Stone)

If X, Y are Bauer simplices and  $\mathcal{A}(X, \mathbb{F})$  is isometric to  $\mathcal{A}(Y, \mathbb{F})$ , then ext X is homeomorphic to ext Y.

# Theorem (Amir, Cambern)

If X, Y are Bauer simplices and there exists an isomorphism  $T : \mathcal{A}(X, \mathbb{F}) \to \mathcal{A}(Y, \mathbb{F})$  with  $||T|| \cdot ||T^{-1}|| < 2$ , then ext X is homeomorphic to ext Y.

# Theorem (Chu-Cohen)

Given compact convex sets X and Y, the sets ext X and ext Y are homeomorphic provided there exists an isomorphism  $T: \mathcal{A}(X, \mathbb{R}) \to \mathcal{A}(Y, \mathbb{R})$  with  $||T|| \cdot ||T^{-1}|| < 2$  and one of the following conditions hold:

- (i) X and Y are simplices such that their extreme points are weak peak points;
- (ii) *X* and *Y* are metrizable and their extreme points are weak peak points;
- (iii) ext *X* and ext *Y* are closed and extreme points of *X* and *Y* are split faces.

#### Definition

A point  $x \in X$  is a **weak peak point** if given  $\varepsilon \in (0, 1)$  and an open set  $U \subset X$  containing x, there exists a in the unit ball  $B_{\mathcal{A}(X,\mathbb{F})}$  of  $\mathcal{A}(X,\mathbb{F})$  such that  $|a| < \varepsilon$  on ext  $X \setminus U$  and  $a(x) > 1 - \varepsilon$ .

# Theorem (Hess)

For each  $\varepsilon \in (0, 1)$  there exist metrizable simplices X, Y and an isomorphism  $T \colon \mathcal{A}(X, \mathbb{R}) \to \mathcal{A}(Y, \mathbb{R})$  with  $||T|| \cdot ||T^{-1}|| < 1 + \varepsilon$  such that ext X is not homeomorphic to ext Y.

# Theorem (Lazar)

If X, Y are simplices and  $A(X, \mathbb{R})$  is isometric to  $A(Y, \mathbb{R})$ , then X is affinely homeomorphic to Y, in particular ext X is homeomorphic to ext Y.

# Small bound isomorphisms of spaces of real affine continuous functions

#### Theorem (Ludvík-S.)

Given compact convex sets *X* and *Y*, the sets ext *X* and ext *Y* are homeomorphic provided there exists an isomorphism  $T: \mathcal{A}(X, \mathbb{R}) \to \mathcal{A}(Y, \mathbb{R})$  with  $||T|| \cdot ||T^{-1}|| < 2$ , extreme points of *X* and *Y* are weak peak points and both ext *X* and ext *Y* are Lindelöf.

#### Theorem (Dostál-S.)

Given compact convex sets X and Y, the sets ext X and ext Y are homeomorphic provided there exists an isomorphism  $T: \mathcal{A}(X, \mathbb{R}) \to \mathcal{A}(Y, \mathbb{R})$  with  $||T|| \cdot ||T^{-1}|| < 2$  and extreme points of X and Y are weak peak points.

# Small bound isomorphisms of spaces of complex affine continuous functions

#### Theorem (Rondoš-S.)

Given compact convex sets *X* and *Y*, the sets ext *X* and ext *Y* are homeomorphic provided there exists an isomorphism  $T: \mathcal{A}(X, \mathbb{C}) \to \mathcal{A}(Y, \mathbb{C})$  with  $||T|| \cdot ||T^{-1}|| < 2$  and extreme points of *X* and *Y* are weak peak points.

Given a compact Hausdorff space *K*, we consider a closed subspace  $\mathcal{H} \subset \mathcal{C}(K, \mathbb{C})$  which contains constants and separates points of *K*. By **S**( $\mathcal{H}$ ) we denote the **state space** of  $\mathcal{H}$ , *i.e.*, the set

 $\mathbf{S}(\mathcal{H}) = \{ \boldsymbol{s} \in \mathcal{H}^*; \, \|\boldsymbol{s}\| = \boldsymbol{s}(1) = 1 \}$ 

endowed with the weak<sup>\*</sup> topology. Let  $\phi : K \to \mathbf{S}(\mathcal{H})$  be the evaluation mapping, then  $\phi$  homeomorphically embeds K into the compact convex set  $\mathbf{S}(\mathcal{H})$ . The **Choquet boundary** Ch<sub>H</sub> K of  $\mathcal{H}$  is defined as

$$\operatorname{Ch}_{\mathcal{H}} K = \{ x \in K; \phi(x) \in \operatorname{ext} \mathbf{S}(\mathcal{H}) \}.$$

Then ext  $\mathbf{S}(\mathcal{H}) = \phi(\operatorname{Ch}_{\mathcal{H}} K).$ 

#### Definition

Given a function space  $\mathcal{H}$ , we say that  $x \in K$  is a **weak peak point** if given  $\varepsilon \in (0, 1)$  and an open set  $U \subset K$  containing x, there exists  $f \in B_{\mathcal{H}}$  such that  $|f| < \varepsilon$  on  $Ch_{\mathcal{H}} K \setminus U$  and  $f(x) > 1 - \varepsilon$ .

#### Theorem (Rondoš-S.)

For i = 1, 2, let  $K_i$  be a compact space and  $\mathcal{H}_i$  be a selfadjoint closed subspace of  $\mathcal{C}(K_i, \mathbb{C})$  which contains constants and separates points of  $K_i$ . Let each point of  $\operatorname{Ch}_{\mathcal{H}_i} K_i$  be a weak peak point. If there exists an isomorphism  $T : \mathcal{H}_1 \to \mathcal{H}_2$  satisfying  $\|T\| \cdot \|T^{-1}\| < 2$ , then  $\operatorname{Ch}_{\mathcal{H}_1} K_1$  is homeomorphic to  $\operatorname{Ch}_{\mathcal{H}_2} K_2$ . Let K be a locally compact Hausdorff space and  $\mathcal{H} \subset C_0(K, \mathbb{F})$  be a closed subspace. Then  $x \in K$  is in the **Choquet boundary**  $\operatorname{Ch}_{\mathcal{H}} K$  of  $\mathcal{H}$  if the point evaluation functional  $\phi(x)$  defined as  $\phi(x)(h) = h(x)$ ,  $h \in \mathcal{H}$ , is an extreme point of  $B_{\mathcal{H}^*}$ . Again, a point  $x \in K_i$  is a **weak peak point** if for a given  $\varepsilon \in (0, 1)$  and a neighborhood U of x there exists a function  $h \in B_{\mathcal{H}}$  such that  $h(x) > 1 - \varepsilon$  and  $|h| < \varepsilon$  on  $\operatorname{Ch}_{\mathcal{H}} K \setminus U$ .

#### Theorem (Rondoš-S.)

For i = 1, 2, let  $\mathcal{H}_i$  be a closed subspace of  $\mathcal{C}_0(K_i, \mathbb{F})$  for some locally compact space  $K_i$ . Assume that each point of  $\operatorname{Ch}_{\mathcal{H}_i} K_i$  is a weak peak point and let  $T : \mathcal{H}_1 \to \mathcal{H}_2$  be an isomorphism satisfying  $\|T\| \cdot \|T^{-1}\| < 2$ . Then  $\operatorname{Ch}_{\mathcal{H}_1} K_1$  is homeomorphic to  $\operatorname{Ch}_{\mathcal{H}_2} K_2$ .

#### Theorem (Jarosz)

If  $K_1, K_2$  are locally compact spaces,  $A \subset C_0(K_1, \mathbb{C})$  is an extremely regular closed subspace and a not necessarily surjective isomorphism  $T : A \to C_0(K_2, \mathbb{C})$  satisfies  $||T|| \cdot ||T^{-1}|| < 2$ , then  $K_1$  is a continuous image of a subset of  $K_2$ .

#### Theorem (Rondoš-S.)

For i = 1, 2, let  $\mathcal{H}_i$  be a closed subspace of  $\mathcal{C}_0(K_i, \mathbb{F})$  for some locally compact space  $K_i$ . Assume that each point of  $\operatorname{Ch}_{\mathcal{H}_1} K_1$  is a weak peak point and let  $T : \mathcal{H}_1 \to \mathcal{H}_2$  be an into isomorphism satisfying  $\|T\| \cdot \|T^{-1}\| < 2$ . Then there exists a set  $L \subset \operatorname{Ch}_{\mathcal{H}_2} K_2$  and a continuous surjective mapping  $\varphi : L \to \operatorname{Ch}_{\mathcal{H}_1} K_1$ .

# Theorem (Cengiz)

Locally compact spaces  $K_1, K_2$  have the same cardinality provided  $C_0(K_1, \mathbb{F})$  is isomorphic to  $C_0(K_2, \mathbb{F})$ .

# Theorem (Rondoš-S.)

For i = 1, 2, let  $\mathcal{H}_i$  be a closed subspace of  $\mathcal{C}_0(K_i, \mathbb{F})$  for some locally compact space  $K_i$ . Assume that each point of  $Ch_{\mathcal{H}_i} K_i$  is a weak peak point and let  $T : \mathcal{H}_1 \to \mathcal{H}_2$  be an isomorphism. Then the cardinality of  $Ch_{\mathcal{H}_1} K_1$  is equal to the cardinality of  $Ch_{\mathcal{H}_2} K_2$ .

Thank you for your attention.